



P/2778-25

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Jun MAKINO

Serial No.: 10/049,388

Filed: May 28, 2002

For: A SWITCH CIRCUIT

Asst. Commissioner for Patents

Washington, D.C. 20231

Date: December 3, 2002

Group Art Unit:

Examiner: ---

**SUBMISSION** 

Sir:

Applicant submits herewith the International Preliminary Examination Report for the above identified application.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on December 3, 2002:

Robert C. Faber

Name of applicant, assignee or

Registered Representative

Signature December 3, 2002

Date of Signature

Respectfully submitted,

Robert C. Faber

Registration No.: 24,322

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

RCF:jc

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

New York, New York

Jun MAKINO

Date: December 3, 2002

Serial No.: 10/049,388

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Filed: May 28, 2002

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For: A SWITCH CIRCUIT

Asst. Commissioner for Patents

Washington, D.C. 20231

**SUBMISSION** 

Sir:

Submitted herewith is an English language Abstract for EP 0 730 349, a European reference cited in relation to the above-identified application. The European reference was already disclosed in the Information Disclosure Statement on October 15, 2002, but no English language translation was submitted.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on December 3, 2002:

Respectfully submitted,

Robert C. Faber

December 3, 2002

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New York, New York 10036-8403

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# PATENT COOPERATION TREATY

# **PCT**

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of sheets, including this cover sheet.  This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have bee amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of sheets.  3. This report contains indications relating to the following items:  I.	Applicant's or agent's file reference										
Date of submission of the demand   Date of submission of the demand   PC   PC7: HO2J 13/00, 9/00, HO2H 1/00   International Patent Classification (IPC) or national classification and IPC   IPC7: HO2J 13/00, 9/00, HO2H 1/00   Applicant   Majeel Laboratories Pte Ltd. et al.      This international preliminary examination report has been prepared by this International Preliminary Examination Authority and is transmitted to the applicant according to Article 36.	FP1167										
International Patent Classification (IPC) or national classification and IPC  IPC <sup>7</sup> : H02J 13/00, 9/00, H02H 1/00  Applicant  Majeel Laboratories Pte Ltd. et al.  1. This international preliminary examination report has been prepared by this International Preliminary Examination Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of		International filing date (day/n	nonth/year)	Priority Date (day/month/year)							
IPC <sup>7</sup> : H02J 13/00, 9/00, H02H 1/00	PCT/SG 99/00084	13 August 1999 (13.08	3.1999)								
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Majeel Laboratories Pte Ltd. et al.	IPC <sup>7</sup> : H02J 13/00, 9/00, H02H 1/00										
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3. This report contains indications relating to the following items:  1.											
I.	These annexes consist of a total of sheets.										
II.	3. This report contains indications re	lating to the following items:		·							
III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  IV. Lack of unity of invention  V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement  VI. Certain documents cited  VII. Certain defects in the international application  VIII. Certain observations on the international application  VIII. September 2002 (23.09.2002)  Name and mailing address of the IPEA/AT Authorized officer  Authorized officer	I. Basis of the opin	I. Basis of the opinion									
IV.	II. Priority										
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Austrian Patent Office	28.02.2001		23 Se	eptember 2002 (23.09.2002)							
1		AT A	Authorized officer								
Kommarkt 0-10	Austrian Patent Office Kohlmarkt 8-10			MEHLMAUER A.							

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.
PCT/SG 99/00084

I.		Basis of the report					
1.							
	the international application as originally filed						
	$\Box$	the description:					
		pages, as originally filed					
		pages, filed with the demand					
		pages, filed with the letter of					
	LJ	the claims:					
		pages, as originally filed					
		pages, as amended (together with any statement) under Article 19					
		pages, filed with the demand pages, filed with the letter of					
		pages, fred with the letter of					
		the drawings:					
		pages, as originally filed					
		pages, filed with the demand					
		pages, filed with the letter of					
	$\Box$	the sequence listing part of the description:					
	_	pages, as originally filed					
		pages, filed with the demand					
		pages, filed with the letter of					
2.	whic	n regard to the language, all the elements marked above were available or furnished to this Authority in the language in the international application was filed, unless otherwise indicated under this item.					
		the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).					
		the language of publication of the international application (under Rule 48.3(b)).					
		the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/ or 55.3).					
3.	With preli	n regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international iminary examination was carried out on the basis of the sequence listing:					
		contained in the international application in printed form.					
		filed together with the international application in computer readable form.					
		furnished subsequently to this Authority in written form.					
		furnished subsequently to this Authority in computer readable form.					
		The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.					
		The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.					
4.		The amendments have resulted in the cancellation of:					
		the description, pages					
		the claims, Nos					
		the drawings, sheets/fig					
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**					
* F	eplac n this	cement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70 16 and					

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SG 99/00084

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement						
1. Statement						
Novelty (N)	Claims	1-14	YES			
	Claims		NO			
Inventive step (IS)	Claims	1-14	YES			
	Claims		NO			
Industrial applicability (IA)	Claims	1-14	YES			
	Claims		NO			
Citations and explanations (Rule 70.7)						

This Examination Report is based on the Written Opinion of 2 March 2001 (02.03.2001). Though the International Examining Authority raised objections regarding the clarity of claims 2 and 3 respectively the back reference of claim 14 the Applicant has submitted no response to said Written Opinion within the given time limit.

The subject matter of claim 1 concerns a switch circuit for controlling the supply from an electrical power source to a load. The switch circuit comprises transistors and a momentary switch. The dependent claims 2 – 14 concern advantageous features of the invention.

The documents cited in the search report address the subject-matter of the present application insofar as the documents describe power supply switching circuits with electronic switches. Though said documents also disclose combinations of electronic switches with a mechanical switch, none of the cited documents is able to anticipate the specific performance of the switch circuit as offered in the present application. Thus, the circuits of the cited documents define a state of the art not questioning the patentability of the present subject-matter.

Industrial applicability for a switch circuit is obviously established.

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SG 99/00084

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

- 1. Claims 2 and 3 do not meet the reqirement of clarity because it is not defined which one of the two transistors (Q2 or Q3) of the electronic switching device is a thyristor device (PCT Article 6).
- 2. Dependent claim 14 is not referred back to the previous claims in the most practical way possible. The arrangement of dependent claims must be one which enables the association of related claims to be readily determined (PCT Rule 6.4(c)).
- 3. The features of all the claims should be followed by reference signs in parentheses (PCT Rule 6.2(b)).